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# NOTICE OF ALLOWANCE AND FEE(S) DUE

42477

7590

11/03/2006

FRIED, FRANK, HARRIS, SHRIVER & JACOBSON LLP ONE NEW YORK PLAZA NEW YORK, NY 10004 EXAMINER

AFREMOVA, VERA

ART UNIT PAPER NUMBER

1657

DATE MAILED: 11/03/2006

| APPLICATION NO. FILING DATE |            | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------------------|------------|----------------------|---------------------|------------------|
| 10/603 501                  | 06/24/2003 | F. Nicholas Franano  | 31110-0003          | 3974             |

TITLE OF INVENTION: METHODS FOR ENLARGING THE DIAMETER OF AN ARTERY OR VEIN IN A HUMAN SUBJECT

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | YES          | \$700         | \$300               | \$0                  | \$1000           | 02/05/2007 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for

| maintenance fee notificat   |   | erwise in block i, by (  |   | •   |   | ., .  | mate TEE ADDRESS TO                                 |
|---|---|--|---|---|---|---|---|
| CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) .  |   |  |   | Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. |   |   |   |
| 42477   | 7590 11/03  | /2006  |   |   |   | •   |   |
| FRIED, FRAN<br>ONE NEW YOR<br>NEW YORK, N   |   | SON LLP  | I hereby certify the<br>States Postal Servi<br>addressed to the<br>transmitted to the I | Certificate at this Fee( ac with sur Mail Stop JSPTO (57  | e of Mailing or Trans (s) Transmittal is being fficient postage for firs ISSUE FEE address (1) 273-2885, on the d | mission g deposited with the United st class mail in an envelope above, or being facsimile ate indicated below. |   |
|   |   |  |   |   |   | (Depositor's name)  |   |
|   |   |  |   |   |   | (Signature)   |   |
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| APPLICATION NO.   | FILING DATE   |  | FIRST NAMED INVENT  | ror   | ATTO  | RNEY DOCKET NO.   | CONFIRMATION NO.                                    |
| 10/603,501  | 06/24/2003  |  | F. Nicholas Franan  | 0   |   | 31110-0003  | 3974  |
| TITLE OF INVENTION:   | METHODS FOR ENL                                     | ARGING THE DIAMET  | ER OF AN ARTERY   | OR VEIN IN A H  | JMAN SU   | ВЈЕСТ   |   |
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| EXAMI   | NER   | ART UNIT   | CLASS-SUBCLASS  |   |   |   |   |
| AFREMOV   |   | 1657   | 424-094640  |   |   |   |   |
| 1. Change of corresponde<br>CFR 1.363).   | nce address or indication                           | n of "Fee Address" (37   | 2. For printing on the  |   |   | 1   |   |
|   | ondence address (or Cha<br>/122) attached.          |  | (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,       |   |   |   |   |
| "Fee Address" indi-   | cation (or "Fee Address<br>2 or more recent) attach | (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. |   |   |   |   |   |
| 3. ASSIGNEE NAME AN   | ND RESIDENCE DATA                                   | A TO BE PRINTED ON   | THE PATENT (print or  | r type)   |   |   |   |
| PLEASE NOTE: Unlo   | ess an assignee is ident<br>in 37 CFR 3.11. Com     | ified below, no assignee pletion of this form is NO  | data will appear on the   | e patent. If an as  | signee is i   | dentified below, the de   | ocument has been filed for                          |
| (A) NAME OF ASSIG   |   |  | (B) RESIDENCE: (C   |   |   |   |   |
|   |   |  |   |   |   |   |   |
| Please check the appropriate assignee category or categories (will not be printed on the patent):   |   |  |   |   |   |   |   |
| 4a. The following fee(s) a  Issue Fee   | re submitted:                                       | 41   | b. Payment of Fee(s): (   |   | y any prev  | viously paid issue fee :  | shown above)  |
|   | o small entity discount p                           | ☐ A check is enclosed. ☐ Payment by credit card. Form PTO-2038 is attached.  |   |   |   |   |   |
|   |   |  |   | reby authorized to  | harge the   | required fee(s), any de   | ficiency, or credit any n extra copy of this form). |
| 5. Change in Entity Status (from status indicated above)  |   |  |   |   |   |   |   |
| a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.  b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).   |   |  |   |   |   |   |   |
| NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in nterest as shown by the records of the United States Patent and Trademark Office.  |   |  |   |   |   |   |   |
| Authorized Signature  |   |  |   | Date  |   |   |   |
| Typed or printed name   |   |  |   |   |   |   |   |
| This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) in application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete his form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. 30x 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. |   |  |   |   |   |   |   |



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| FRIED, FRANK            | K, HARRIS, SHRIVI | AFREMOVA, VERA          |                     |                  |  |  |
| ONE NEW YOR             | K PLAZA           | · ART UNIT              | PAPER NUMBER        |                  |  |  |
| NEW YORK, NY            | 7 10004           |                         | 1657                |                  |  |  |
|                         |                   | DATE MAILED: 11/03/2006 |                     |                  |  |  |

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 39 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 39 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.